

Item 1 Cover Page

04/28/2023

Part 2B of Form ADV: Individual Disclosure Brochure  
For  
C. Scott Ellis  
CRD# 1549189  
Investment Adviser Representative

This brochure supplement provides information about *C. Scott Ellis* that supplements Centauri Advisory Group, Inc. brochure. You should have received a copy of that brochure. Please contact *C. Scott Ellis*, Investment Adviser Representative if you did not receive the Centauri Advisory Group, Inc. brochure or if you have any questions about this supplement. Additional information about *C. Scott Ellis* is available on the SEC's website [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)

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## Item 2 Educational Background & Business Experience

Name: Craig Scott Ellis Born: 1955

Education Background:

BBA Finance, Southwest Texas State University -1984

Certificate in Financial Planning from the College for Financial Planning.

The **Certified Financial Planner** (CFP) designation is a [professional certification](#) mark for [financial planners](#) conferred by the [Certified Financial Planner Board of Standards, Inc. \(CFP Board\)](#)<sup>[1]</sup> in the United States, Financial Planners Standards Council in Canada<sup>[2]</sup> and 18 other organizations affiliated with Financial Planning Standards Board (FPSB)<sup>[3]</sup>, the international owner of the CFP mark outside of the United States. To receive authorization to use the designation, the candidate must meet education, examination, experience and ethics requirements, and pay an ongoing certification fee.<sup>[4]</sup> The information relates specifically to CFP certification in the United States

Business Background:

2011 - Present COO of Centauri Advisory Group, Inc.

2007 - 2011 Registered Rep. Trustmont Financial Group

2009 - 2011 IAR Trustmont Advisory Services

1991 - 2006 Registered Rep. Olde Economie Financial Consultants

## Item 3 Disciplinary Information

There have been no disciplinary events.

## Item 4 Other Business Activities

*C. Scott Ellis* is also licensed to sell insurance products. The insurance product may generate a commission to the insurance agent who is also an adviser. Insurance products offered can be but are not limited to; life insurance, annuities, indexed annuities, health insurance, disability insurance, long term care insurance, in the state of PA, OH, & TX.

Accessible Vacations, Inc. is owned by Michele Ellis. *C. Scott Ellis* spends less than 5% of his time working for the company and collects 0% of income from the company. There is no conflict of interest.

*C. Scott Ellis* is a local Republican committee member. There is no conflict of interest.

## Item 5 Additional Compensation

*C. Scott Ellis*, CFP does receive compensation from the commissions earned from his insurance clients. The professional services and fees of *C. Scott Ellis's*, CFP Insurance practice are entirely separate and distinct from CAG's investment advisory services and fees.

## Item 6 Supervision

Centaury Advisory Group, Inc. has created policies and procedures reasonably designed to prevent violation of the Investment Advisers Act of 1940 and the rules that the Security and Exchange Commission have adopted under the Act. *C. Scott Ellis* and all IAR's under Centaury Advisory Group must adhere to all policies and procedures adopted by the firm. These guidelines include but are not limited to: As an investment adviser representative, *C. Scott Ellis* must provide advice that is in the client's best interest and must not place their own interests ahead of the client's interest under any circumstances. *C. Scott Ellis* must follow specific fiduciary obligations when dealing with clients, obligations such as but not limited to; the duty to have a reasonable, independent basis for the investment advice provided, the duty to ensure that investment advice is suitable to meeting the client's individual objectives, needs and circumstances and a duty to be loyal to all clients. Engaging in any fraudulent or deceitful conduct with clients or a potential client is strictly prohibited. Examples of fraudulent conduct include but are not limited to; misrepresentation, nondisclosure of fees and/or misappropriation of client funds.

*C. Scott Ellis* is supervised by Kevin E. Phillis, CEO and R. Douglas Falk, CFO, officers of Centaury Advisory Group, Inc. Contact information is on the cover page of this supplement.